

Does Every Recursively Enumerable Set Admit a Finite-Fold Diophantine Representation?*

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Abstract. The Davis-Putnam-Robinson theorem showed that every partially computable m -ary function $f(a_1, \dots, a_m) = c$ on the natural numbers can be specified by means of an exponential Diophantine formula involving, along with parameters a_1, \dots, a_m, c , some number κ of existentially quantified variables. Yuri Matiyasevich improved this theorem in two ways: on the one hand, he proved that the same goal can be achieved with no recourse to exponentiation and, thereby, he provided a negative answer to Hilbert's 10th problem; on the other hand, he showed how to construct an exponential Diophantine equation specifying f which, once a_1, \dots, a_m have been fixed, is solved by at most one tuple $\langle v_0, \dots, v_\kappa \rangle$ of values for the remaining variables. This latter property is called single-foldness. Whether there exists a single- (or, at worst, finite-) fold polynomial Diophantine representation of any partially computable function on the natural numbers is as yet an open problem. This work surveys relevant results on this subject and tries to draw a route towards a hoped-for positive answer to the finite-fold-ness issue.

Key words. Hilbert's 10th problem, exponential-growth relation, finite-fold Diophantine representation, Pell's equation.

Introduction

The celebrated Davis-Putnam-Robinson theorem of 1961 ensures that every computable function \mathcal{F} from a subset of \mathbb{N}^m into $\mathbb{N} = \{0, 1, 2, \dots\}$ can be specified as

$$\mathcal{F}(a_1, \dots, a_m) = c \iff (\exists x_1 \cdots \exists x_\kappa) \varphi(\underbrace{a_1, \dots, a_m, c}_{\text{parameters}}, \underbrace{x_1, \dots, x_\kappa}_{\text{unknowns}}), \quad (\dagger)$$

for some formula φ that only involves:

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- the shown (pairwise distinct) variables,
- positive integer constants,
- addition, multiplication, and exponentiation operators,¹
- the logical connectives $\&$, \vee , $\exists v$, $=$.

Two major improvements to this result were achieved by Yuri Matiyasevich. In [15] he showed that (\dagger) can be set up without exponentiation; in [16], while retaining exponentiation in it, he boiled φ down to the format

$$\varphi(a_1, \dots, a_m, c, x_1, \dots, x_\kappa) := P'(a_1, \dots, a_m, c, x_2, \dots, x_\kappa) = 4^{x_1} + x_1 + P''(a_1, \dots, a_m, c, x_2, \dots, x_\kappa),$$

where $\kappa > 0$ and P' and P'' are polynomials with coefficients in \mathbb{N} , devoid of occurrences of x_1 , such that *no two* tuples

$$\langle a_1, \dots, a_m, v_0, v_1, \dots, v_\kappa \rangle, \langle a_1, \dots, a_m, u_0, u_1, \dots, u_\kappa \rangle$$

on \mathbb{N} exist satisfying $\varphi(a_1, \dots, a_m, v_0, \dots, v_\kappa) \& \varphi(a_1, \dots, a_m, u_0, \dots, u_\kappa)$. Thus, every tuple $\langle a_1, \dots, a_m \rangle$ on \mathbb{N} either admits no continuation $\langle v_0, \dots, v_\kappa \rangle$ satisfying φ —and then $\langle a_1, \dots, a_m \rangle$ does not belong to the domain of \mathcal{F} —or exactly one, and then v_0 is precisely the value $\mathcal{F}(a_1, \dots, a_m)$.

By introducing a little terminology—rather common in recursion theory, cf. [4]—we will be better-off in what follows. A set $\mathcal{R} \subseteq \mathbb{N}^m$, with $m > 0$, is called **recursively enumerable** (or, shortly, *r.e.*): when it is the domain of a partially computable function \mathcal{F} taking m arguments (see, e.g., [7, Sect. 2.4]); **exponential Diophantine**: when it can be specified as

$$\mathcal{R}(a_1, \dots, a_m) \iff (\exists x_1 \cdots \exists x_\kappa) \varphi(\underbrace{a_1, \dots, a_m}_{\text{parameters}}, \underbrace{x_1, \dots, x_\kappa}_{\text{unknowns}}), \quad (*)$$

for some formula φ involving the syntactic means listed at the beginning; **Diophantine**: when it can be specified in the form $(*)$, with φ involving the syntactic armory just recalled, *save* exponentiation.

Moreover, a representation of \mathcal{R} in the form $(*)$ is said to be

single-fold or **univocal**: when each tuple $\langle a_1, \dots, a_m \rangle$ of natural numbers has at most one continuation $\langle v_1, \dots, v_\kappa \rangle$ such that $\varphi(a_1, \dots, a_m, v_1, \dots, v_\kappa)$; **finite-fold**: when each tuple $\langle a_1, \dots, a_m \rangle$ of natural numbers has only finitely many continuations $\langle v_1, \dots, v_\kappa \rangle$ such that $\varphi(a_1, \dots, a_m, v_1, \dots, v_\kappa)$ holds.

Let us sum up, utilizing these notions, the important results mentioned above, along with two open issues raised many years ago, which still motivate us here:

DPR61 [6], known as DPR: Every r.e. set is exponential Diophantine.

¹ We name *exponentiation* the dyadic operation $\langle r, p \rangle \mapsto r^p$ (occasionally, also $p \mapsto 2^p$).

Mat70 [15], known as DPRM: Every r.e. set is Diophantine.

Mat74 [16]: Every r.e. set admits a univocal exponential Diophantine representation.

DMR76 [5]: Does every r.e. set admit a univocal Diophantine representation?

Mat10 [14]: Does every r.e. set admit a finite-fold Diophantine representation?

A positive answer to DMR76 would combine together both of Matiyasevich’s improvements to DPR, namely Mat70 and Mat74; in [14], Matiyasevich argues on the significance of this combination, and on the difficulty (as yet unsolved) of this reconciliation. In [17, p. 50], after discussing the issue again, he ends up by saying: “This relationship between undecidability and non-effectivizability is one of the main stimuli to improve the DPRM-theorem to single-fold (or at least to finite-fold) representations and thus establish the existence of non-effectivizable estimates for genuine Diophantine equations”.

The derivation of DPRM from DPR required that exponentiation itself were proved to be Diophantine. A result by Julia Robinson, which we recapitulate in Sect. 2, played historically a key role in this arduous task: she had reduced the task to the quest for a Diophantine relation of *exponential growth* (a notion to be recalled soon here); and, indeed, Matiyasevich found a polynomial Diophantine representation of a specific exponential-growth relation.

After Matiyasevich [14], we have some hope that a positive answer to Mat10 can likewise be obtained by proving two facts:

- there exists a relation $\mathcal{M}(p, q)$, sharing with the relation $2^p = q$ (seen as the set $\{(p, 2^p) \mid p \in \mathbb{N}\}$) a certain special property (see Fig. 1²), that admits a finite-fold Diophantine representation;
- consequently, via a reduction technique reminiscent of J. Robinson’s one, exponentiation will have a finite-fold Diophantine representation. (Hence, via Mat74, every r.e. set will inherit the finite-fold Diophantine representability.)

Concerning the former goal, [1,2] propose four exponential-growth relations as candidate \mathcal{M} ’s; moreover, [2] proves that one of them enjoys the “special property” shown in Fig. 1. It is hard to establish whether any of these candidates is Diophantine; clearly enough, though, if any of them is indeed Diophantine, then it has a finite-fold representation.

Concerning the latter goal, in order to convince ourselves (as well as our readers) that the sought “reduction technique reminiscent of J. Robinson’s one” does exist, and to get closer to it, we undertake in this paper a comparison among various published versions of Robinson’s technique, discussing how her idea evolved over the years from its original formulation of 1952 towards simpler implementations, one of which might fit our needs.

In preparation for some conclusive answer to Mat10—be it positive or negative—, this paper brings together scattered notes on finite-fold Diophantine representability. The forthcoming material is organized as follows.

² Notice that in the case of the relation $2^p = q$ we could take $\alpha = \beta = \delta = \gamma/2 = 2$ and then $p = w + 2$, $q = 2^{w+2}$.

There exist integers $\alpha > 1$, $\beta \geq 0$, $\gamma \geq 0$, $\delta > 0$ such that to each $w \in \mathbb{N}$ other than 0 there correspond p, q such that $\mathcal{M}(p, q)$, $p < \gamma w^\beta$, and $q > \delta \alpha^w$ hold.

Fig. 1. A property (elicited in [14]) which, if enjoyed by a relation $\mathcal{M} \subseteq \mathbb{N} \times \mathbb{N}$ admitting a finite-fold Diophantine representation, would ensure existence of a finite-fold Diophantine representation of exponentiation.

Sect. 1 reports the construction of a univocal exponential Diophantine representation of any given r.e. set \mathcal{R} . Out of a formally specified register machine that reaches termination on the tuples belonging to \mathcal{R} —and only on those—, the proposed construction technique generates a formula φ such that (*) holds. By and large, singlefold-ness results from the determinism of the device emulated by the exponential constraints embodied into φ .

Then Sect. 2 discusses two ways of reducing exponentiation to any exponential-growth dyadic relation $\mathcal{J}(p, q)$; both techniques are due to Julia Robinson, who proposed them in 1952 and 1969 respectively. They ensure that if a (polynomial) Diophantine representation for \mathcal{J} is found, then it can be converted into a Diophantine representation of exponentiation, and hence of any given r.e. set. Appendix A expounds the original correctness proof regarding the result of 1969.

Sect. 3 reports three ways, devised by Davis, Matiyasevich, and J. Robinson, of reducing exponentiation to the sequence $\langle \mathbf{y}_i(a) \rangle_{i \in \mathbb{N}}$ of solutions to the special-form Pell equation $(a^2 - 1)y^2 + 1 = \square$ with $a > 1$.³ Appendices B and C dwell upon the techniques by which those three reductions were obtained.

1 Univocal exponential representation of any r.e. set

Where does singlefold-ness of the exponential representation of an r.e. set $\mathcal{R} \subseteq \mathbb{N}^m$ whatsoever stem from? In [16], where it was first achieved, such a representation took the form

$$\mathcal{R}(a_1, \dots, a_m) \iff (\exists x_1 \dots \exists x_\kappa \exists y \exists w) [2^y = w \ \& \ D(a_1, \dots, a_m, x_1, \dots, x_\kappa, y, w) = 0],$$

where D is a polynomial in the variables $a_1, \dots, a_m, x_1, \dots, x_\kappa, y, w$ with integral coefficients; this was then rewritten, by exploiting an idea of Hilary Putnam, as

$$\mathcal{R}(a_1, \dots, a_m) \iff (\exists x_1 \dots \exists x_\kappa \exists y \exists z \exists u) \quad 4^y + u = [y + (y + z)^2] [1 - D^2(a_1, \dots, a_m, x_1, \dots, x_\kappa, y, y + z)].$$

³ ‘ $Q = \square$ ’ means that the value of Q must be a perfect square.

This format is very elegant,⁴ but the proof of the associated representability result less transparent than later single-fold-representability proofs where exponentiation was employed more liberally. Various proofs referred to *register machines*, a popular model of abstract computing device, to which James P. Jones and Yu. V. Matiyasevich resorted in three papers (see, e.g., [8]). We rely upon Martin Davis's account [4, Chapter 6] of the Jones-Matiyasevich's approach in carrying out our considerations below.

A register machine π consists of a list $\mathfrak{S}_0, \dots, \mathfrak{S}_\ell$ of *instructions*; any execution of π begins with instruction \mathfrak{S}_0 and, unless it goes on forever, it terminates with \mathfrak{S}_ℓ . Finitely many program variables, $R_0, R_1, \dots, R_m, \dots, R_r$, called *registers*, occur in π ; of these, R_0 will hold the result \mathbf{a}_0 of the computation upon termination, if execution does reach \mathfrak{S}_ℓ . At the outset, the registers R_1, \dots, R_m must hold the respective input values $\mathbf{a}_1, \dots, \mathbf{a}_m$, while the values of all remaining registers are supposed to be 0. Here, w.l.o.g., we shall require that $\mathbf{a}_0 = 0$.

There are instructions of five types:

$R_j \leftarrow R_j + 1$	increment
$R_j \leftarrow R_j - 1$	decrement
IF $R_j = 0$ GOTO k	conditional branch
GOTO k	<i>un</i> conditional branch
STOP	halt

Suitable programming rules enforce that: (0) **STOP** only appears at the end of π , namely as \mathfrak{S}_ℓ ; (1) the number k that follows **GOTO** in a branch instruction always belongs to the interval $0, \dots, \ell$; (2) it never happens that a decrement $R_j \leftarrow R_j - 1$ is reached when the current value of its register R_j is 0; (3) when—if ever—the instruction \mathfrak{S}_ℓ is reached, each one of (R_0, R_1, \dots, R_r) has value 0.

The behavior of π when its execution is triggered with input values \mathbf{a}_i loaded in its input registers R_1, \dots, R_m should be readily grasped by any person familiar with procedural programming. In order to describe that functioning, we must specify by means of exponential Diophantine constraints how the values of the registers evolve over time and which instruction is about being effected at each of the discrete time instants beating the execution.

An unknown, s , representing the overall number of execution steps, will play a crucial role; in fact, we are interested in the r.e. set \mathcal{R} consisting of those tuples $\langle \mathbf{a}_1, \dots, \mathbf{a}_m \rangle$ which, when fed into π , lead π to termination. Unless execution terminates, no natural number s should be an acceptable value for s under the constraints to be associated with π ; on the other hand, when a tuple leads to termination, an acceptable value s for s must exist and it must be unique, because the abstract computing device which we are modeling is deterministic.

⁴ Notice that the polynomial $y + (y + z)^2$ belongs to Kosovskii's family of polynomials $x_1 + (x_1 + x_2)^2 + (x_1 + x_2 + x_3)^3 + \dots + (x_1 + \dots + x_n)^n$ defining, for each $n \in \mathbb{N}$, an injective function of \mathbb{N}^n into \mathbb{N} —see [9].

In the latter case, the course of values of each register R_j ($j = 0, \dots, r$) can be modeled as the sequence $\langle \mathbf{r}_{j,0}, \dots, \mathbf{r}_{j,s} \rangle$ formed by its initial value $\mathbf{r}_{j,0}$ and by its subsequent values $\mathbf{r}_{j,t}$ with $t > 0$, where $\mathbf{r}_{j,t}$ is the value held by R_j right after the execution of the t -th step. Notice that if execution terminates in s computation steps, no register will ever hold a value exceeding the quantity $\mathbf{a}_1 + \dots + \mathbf{a}_m + s$; therefore we can represent the course of values of each R_j by a single unknown, \mathbf{r}_j , designating the amount $\sum_{t=0}^s \mathbf{r}_{j,t} Q^t$, where $Q > \mathbf{a}_1 + \dots + \mathbf{a}_m + s$ is a base for the positional encoding of numbers large-enough in order that every $\mathbf{r}_{j,t}$ acts as a digit. Since s is *a priori* unknown, Q must in its turn show as an unknown, Q , in the constraints specifying π . Out of practical concerns, it turns out convenient to subject Q , along with a buddy unknown b , to the conditions

$$2^b \leq (2a_1 + \dots + 2a_m + 2s) \max(\ell + 1) < 2^b \cdot 2 = Q,$$

ensuring its uniqueness—and thus, thanks to the determinism of π , also the uniqueness of $\mathbf{r}_0, \dots, \mathbf{r}_r$.

Additional unknowns l_0, \dots, l_ℓ are needed to describe which instruction is executed at each instant: l_i designates the amount $\sum_{t=0}^s l_{i,t} Q^t$, where $l_{i,t} = 1$ if the instruction to be executed at time t is \mathfrak{S}_i , and $l_{i,t} = 0$ otherwise. One final unknown, I , is required to satisfy the equations

$$1 + (Q - 1)I = Q^{s+1} = \sum_{i=0}^{\ell} l_i,$$

so that I designates $\sum_{t=0}^s Q^t$. Thus, with respect to the bases Q and 2 , I reads

$$\underbrace{1 \dots 11}_{s+1} \quad \text{and} \quad \underbrace{0 \dots 01 \dots 0 \dots 01}_{s+1}$$

and the equation on the right reflects the fact that exactly one instruction is executed at each step. Putting

$$\Delta_{j,i} =_{\text{def}} \begin{cases} 0 & \text{when } \mathfrak{S}_i \text{ does not affect } R_j, \text{ else} \\ \pm 1 & \text{according to whether } \mathfrak{S}_i \text{ is } R_j \leftarrow R_j \pm 1, \end{cases}$$

we must then require, for $j = 0, \dots, r$ that

$$\mathbf{r}_j = \left(\mathbf{r}_j + \sum_{i=0}^{\ell} \Delta_{j,i} l_i \right) Q + \begin{cases} a_j & \text{if } 0 < j \leq m, \\ 0 & \text{otherwise,} \end{cases}$$

to state how the course of values of each variable is ruled by the execution steps.⁵

⁵ Rather than presupposing that the value \mathbf{a}_0 of the output register be 0 at the end, here we could have modified the condition associated with R_0 into $\mathbf{r}_0 = \left(\mathbf{r}_0 + \sum_{i=0}^{\ell} \Delta_{0,i} l_i \right) Q - Q^{s+1} \mathbf{a}_0$, thus capturing the *graph* $\langle \mathbf{a}_0, \mathbf{a}_1, \dots, \mathbf{a}_m \rangle$ —an r.e. set on its own right—of the function computed by π instead of its domain.

To perfect the constraint-based description of the execution of π , we shall resort to the *dominance* relation $a \sqsubseteq b$ that occurs between $a = \sum_{h=0}^k a_h 2^h$ and $b = \sum_{h=0}^k b_h 2^h$, with $a_0, b_0, \dots, a_k, b_k \in \{0, 1\}$, if and only if $a_h \leq b_h$ holds for $h = 0, 1, \dots, k$. Since $\binom{0}{1} = 0$ and $1 = \binom{0}{0} = \binom{1}{0} = \binom{1}{1}$, the Lucas's congruence $\left(\sum_{h=0}^k b_h 2^h\right) \equiv \prod_{h=0}^k \binom{b_h}{a_h} \pmod{2}$ yields that $a \sqsubseteq b$ holds if and only if $\binom{b}{a}$ is odd. Hence dominance is exponential Diophantine; in fact, thanks to the binomial theorem, $a \sqsubseteq b$ holds if and only if the remainder of the integer division of $\left[(2^{b+1} + 1)^b / 2^{b a + a}\right]$ by 2^{b+1} is odd. The final constraints needed are, for $j = 0, 1, \dots, r$ and $i = 0, 1, \dots, \ell$:

- $\tau_j \sqsubseteq \lfloor Q/2 - 1 \rfloor I$ and $\iota_i \sqsubseteq I, 1 \sqsubseteq \iota_0, \iota_\ell \sqsubseteq Q^s$;
- $Q \iota_i \sqsubseteq \iota_{i+1}$ when \mathfrak{S}_i is an incre-/decre-ment instruction;
- $Q \iota_i \sqsubseteq \iota_k$ when \mathfrak{S}_i is an unconditional branch instruction **GOTO** k ;
- $Q \iota_i \sqsubseteq \iota_{i+1} + \iota_k$ and $Q \iota_i \sqsubseteq \iota_{i+1} + QI - 2\tau_j$ when \mathfrak{S}_i is a conditional branch instruction **IF** $R_j = 0$ **GOTO** k .

Example 1 (Adapted from [5]). Goldbach's conjecture, stating that *every even integer greater than 2 is the sum of two prime numbers*, can be formulated in a first-order arithmetic of natural numbers by the sentence

$$\forall a \exists p \exists q \forall u \forall v \left(((p = u \cdot v \vee q = u \cdot v) \implies (u = 1 \iff v \neq 1)) \ \& \ a + a + 4 = p + q \right).$$

Thanks to DPR, the conjecture can also be formulated with no quantifier alternations, by means of a sentence of the form

$$\neg(\exists x_0 \cdots \exists x_\kappa) \gamma(0, x_0, x_1, \dots, x_\kappa),$$

where γ is a quantifier-free exponential Diophantine formula enforcing that

$$\mathcal{G}(a) = c \iff (\exists x_1 \cdots \exists x_\kappa) \gamma(\underbrace{c, a}_{\text{param's}}, \underbrace{x_1, \dots, x_\kappa}_{\text{variables}}),$$

holds, where

$$\mathcal{G}(a) =_{\text{Def}} \begin{cases} 1 & \text{if there are prime numbers } p, q \\ & \text{such that } a + a + 4 = p + q, \\ 0 & \text{otherwise.} \end{cases}$$

Such a γ can be built by conjoining together all constraints that specify the behavior of a register machine γ computing \mathcal{G} , in the manner discussed above.⁶

2 Two admirable ways of specifying exponentiation in terms of a relation of exponential growth

In the seminal paper [18] published in 1952, Julia Robinson discusses—among many things—how to specify the graph of exponentiation, namely the triadic

⁶ Bear in mind, here, the remark made in the preceding footnote.

relation $b^n = c$, in the format

$$b^n = c \iff (\exists x_1 \cdots \exists x_\kappa) \varphi(\underbrace{b, n, c}_{\text{param's}}, \underbrace{x_1, \dots, x_\kappa}_{\text{unknowns}}) \quad (\ddagger)$$

closely analogous to (\dagger), with permission to employ in the construction of φ , instead of exponentiation, a dyadic relation \mathcal{J} which is of *exponential growth* in the following sense:

- i) $\mathcal{J}(p, q)$ implies $q < p^p$;
- ii) for each $\ell \geq 0$, there are p and q such that $\mathcal{J}(p, q)$ and $p^\ell < q$.

The essence of such a specification is best explained in terms of a polynomial which, chronologically (see [12, p.531]), made its first appearance long after 1952:

Lemma 1. *There is a polynomial Q in two variables with coefficients in \mathbb{N} such that (using $\tau = \square$ as a short for $\exists q (\tau = q^2)$):*

- $Q(w, h) = \square \implies h > w^w$;
- to every w , there correspond h 's such that $Q(w, h) = \square$.

Proof (just a clue). It suffices to take $Q(w, h) := (w + 2)^3 (w + 4) (h + 1)^2 + 1$.

Theorem 1. *Let Q be as in Lemma 1. The following bi-implication then holds if \mathcal{J} meets the exponential-growth requirements i) and ii).*

$$b^n = c \iff (\exists w, h, a, d, \ell, u, v, s, q) \left[\begin{array}{l} (c - 1)^2 + b + n = 0 \vee \\ (c + b = 0 \ \& \ n \geq 1) \vee \\ (b \geq 1 \ \& \ c \geq 1 \ \& \ d \geq \ell \ \& \ \mathcal{J}(a, d) \quad \& \\ \ell^2 = (a^2 - 1) [n + (a - 1)s]^2 + 1 \quad \& \\ w > b \max n \ \& \ Q(w, h) = q^2 \ \& \ a \geq h \max (c + 1) \ \& \\ u^2 = (a^2 b^2 - 1) v^2 + 1 \ \& \ c = \lfloor u/\ell \rfloor \end{array} \right].$$

This rule, if there exists a Diophantine relation \mathcal{J} satisfying i) & ii), provides a Diophantine representation of exponentiation.

Proof. Proving the stated bi-implication is not a simple matter: we refer the interested reader to [2, Appendix A] for details on this.

Concerning the second part of the claim, we must show that certain relations are Diophantine; namely: $x \geq y \iff \exists v (x = v + y)$, $x > y \iff x \geq y + 1$, $x = y \max z \iff (x = y \geq z \vee x = z \geq y)$, $x = \lfloor y/z \rfloor \iff \exists q (qz \leq y < (q+1)z)$.

In [19, p. 109 and p. 112], J. Robinson simplifies the above construction and proof, getting:

Theorem 2. *Suppose that \mathcal{J} is an exponential-growth relation such that $\mathcal{J}(p, q)$ implies $p > 1$, and let Q be as in the proof of Lemma 1. Then the bi-implication*

$$\begin{aligned}
b^n = c \iff (\exists a, d, \ell, s, x, h) & \left[\begin{array}{ll} (c-1)^2 + n = 0 & \vee \\ (n \geq 1 \ \& \ c + b = 0) & \vee \end{array} \right. \\
\left(n \geq 1 \ \& \ b \geq 1 \ \& \ \mathcal{J}(a, d) \ \& \ d > \ell \ \& \ a > b + n \right. & \& \\
\ell^2 = (a^2 - 1) [n + (a - 1) s]^2 + 1 \ \& \ Q(b + n - 2, h) = x^2 \ \& \\
2ab - b^2 - 1 \geq [(b + n + 1)x] \max(c + 1) & \& \\
\left. \left. 2ab - b^2 - 1 \mid \ell - (a - b) [(a - 1)s + n] - c \right) \right] &
\end{aligned}$$

holds, which gives us a Diophantine repr. of exponentiation if \mathcal{J} is Diophantine.

Proof. A proof of the stated bi-implication is provided in Appendix A; clearly divisibility is Diophantine, since $x \mid y \iff \exists v (y = vx)$.

3 Three ways of specifying exponentiation in terms of the sequence of solutions to a special-form Pell equation

Pell equations of the special form $x^2 - (a^2 - 1)y^2 = 1$, with $a > 1$, have peeped in the preceding section. Through one such equation we enforced a relationship between ℓ and $r := (n + (a - 1)s)$ in Theorems 1 and 2. Constraints involving the tricky polynomial $Q(w, h)$ have also shown up; as one sees, $Q(w, h) = q^2$ can be put in the said Pell format, becoming $q^2 - [(w + 3)^2 - 1] [(w + 2)(h + 1)]^2 = 1$.

Generally speaking, the Pell equation $x^2 - dy^2 = 1$ in the unknowns x, y has infinitely many solutions in \mathbb{N} , provided that the parameter d (also in \mathbb{N}) is *not* a perfect square. In the special case when $d = a^2 - 1$ with $a > 1$, the increasing sequence $\langle (x_i(a), y_i(a)) \rangle_{i \in \mathbb{N}}$ of its solutions satisfies the double recurrence

$$\begin{aligned}
\mathbf{y}_0(a) = 0, \quad \mathbf{y}_1(a) = 1 = \mathbf{x}_0(a), \quad a = \mathbf{x}_1(a), \\
\mathbf{y}_{i+2}(a) = 2a\mathbf{y}_{i+1}(a) - \mathbf{y}_i(a), \\
\mathbf{x}_{i+2}(a) = 2a\mathbf{x}_{i+1}(a) - \mathbf{x}_i(a).
\end{aligned}$$

We summarize in Fig. 2 the combinatorial interplay among items in this sequence yielded by their generating rules (see, e.g., [18, pp. 439–440] and [12, pp. 527–528]).

Many of the facts in Fig. 2 are needed, of course, in order to detail the proofs of Theorems 1 and 2. They also enter Davis's proof [3] of the following:

doable—see, e.g., [3] and [12]); for, then, additional unknowns enter into play, which lead to infinitely many solutions when any solution exists.

As stressed in [17, pp. 43–44], all today known methods of constructing a polynomial Diophantine representation (§) are in fact based on the study of the behavior of recurrent sequences like the famous Fibonacci progression $\langle 0, 1, 1, 2, 3, 5, 8, \dots \rangle$, or a sequence $\langle \mathbf{y}_0(a), \mathbf{y}_1(a), \mathbf{y}_2(a), \dots \rangle$, “taken some modulo; clearly, this behavior is periodic and as a consequence each known Diophantine representation of exponentiation is infinite-fold”.

The situation does not improve, as for the finitfold-ness issue, even if we resort to the elegant specification of exponentiation proposed in [13] by Matiyasevich, who considers the sequence $\langle \mathbf{m}_0(a), \mathbf{m}_1(a), \mathbf{m}_2(a), \dots \rangle$ with $a \in \mathbb{N} \setminus \{0, 1\}$ characterized by the recurrence

$$\mathbf{m}_0(a) = 0, \quad \mathbf{m}_1(a) = 1, \quad \mathbf{m}_{i+2}(a) = a \mathbf{m}_{i+1}(a) - \mathbf{m}_i(a).$$

The distinguished scholar achieves a *singlefold* representation of exponentiation *in terms* of the triadic relation $\mathbf{m}_i(a) = m$. His result, as stated here, also refers to the sequence $\langle \mathbf{y}_i(a) \rangle_{i \in \mathbb{N}}$,⁸ it is explained, albeit briefly, in our Appendix C.

Theorem 4 ([13, pp. 31–32]). *The bi-implications*

$$\begin{aligned} b^n = c &\Leftrightarrow c = \frac{[\mathbf{m}_{n+1}(16b(n+1)\mathbf{m}_{n+1}(2b+2)+4) / \mathbf{m}_{n+1}(16(n+1)\mathbf{m}_{n+1}(2b+2))]}{[\mathbf{y}_{n+1}(8b(n+1)\mathbf{y}_{n+1}(b+1)+2) / \mathbf{y}_{n+1}(8(n+1)\mathbf{y}_{n+1}(b+1))]} \\ &\Leftrightarrow c = \frac{[\mathbf{y}_{n+1}(8b(n+1)\mathbf{y}_{n+1}(b+1)+2) / \mathbf{y}_{n+1}(8(n+1)\mathbf{y}_{n+1}(b+1))]}{[\mathbf{m}_{n+1}(16b(n+1)\mathbf{m}_{n+1}(2b+2)+4) / \mathbf{m}_{n+1}(16(n+1)\mathbf{m}_{n+1}(2b+2))]} \\ &\Leftrightarrow (\exists x, y, z, r, s) \left(\begin{array}{ll} z = cy + r & \mathcal{E} \quad 1 + r + s = y & \mathcal{E} \\ z = \mathbf{y}_{n+1}(bx + 2) & \mathcal{E} \\ y = \mathbf{y}_{n+1}(x) & \mathcal{E} \\ x = 8(n+1)\mathbf{y}_{n+1}(b+1) & \mathcal{E} \end{array} \right). \end{aligned}$$

hold, where x, y, z, r , and s are uniquely determined. This gives us a Diophantine representation of exponentiation, whichever way we manage to get a Diophantine representation of either one of the triadic relations $\mathbf{m}_i(a) = m$, $\mathbf{y}_i(a) = y$.

One slightly less slick, but nevertheless very elegant, reduction of exponentiation to the sequence $\langle \mathbf{y}_i(a) \rangle_{i \in \mathbb{N}}$ also deserves being mentioned:

Theorem 5 ([12, pp. 534–535]). *When $b \geq 1$ and $n \geq 1$, the bi-implication*

$$b^n = c \iff (\exists m, k, p, q) \left[\begin{array}{ll} k + n + 1 = \mathbf{y}_{mb}(n+1) & \mathcal{E} \\ m = 4n(c+1) + b + 2 & \mathcal{E} \\ (m^2 - 1)p^2 + 1 = q^2 & \mathcal{E} \\ m - 1 \mid p - n - 1 & \mathcal{E} \\ (p^2 - 4(k+n+1-pc)^2)bcn > 0 & \end{array} \right]$$

holds (whence, trivially, the variable m can be eliminated).

⁸ An early reduction of exponentiation to an integer quotient that involves, besides Diophantine functions, only the triadic relation $\mathbf{y}_i(a) = y$, appears in [16, p. 308].

Conclusions

A striking consequence of the univocal exponential representability of any r.e. set was noted in [16, p. 300 and p. 310]. One can find a concrete polynomial $H(a, x_0, x_1, \dots, x_\kappa, y, w)$ with integral coefficients such that:

- 1) to each $\mathbf{a} \in \mathbb{N}$, there corresponds at most one $\kappa + 2$ tuple $\langle \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u} \rangle$ such that $H(\mathbf{a}, \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u}, 2^u) > 0$ holds;
- 2) to any monadic totally computable function \mathcal{C} , there correspond $\kappa + 3$ tuples $\langle \mathbf{a}, \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u} \rangle$ of natural numbers such that $H(\mathbf{a}, \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u}, 2^u) > 0$ and $\max \{ \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u} \} > \mathcal{C}(\mathbf{a})$.

To see this, refer to an explicit enumeration $\mathbf{f}_0, \mathbf{f}_1, \mathbf{f}_2, \dots$ of all monadic partially computable functions (see [7, p. 73 ff]), so that both of

$$\begin{aligned} \mathcal{H} &= \{ \langle a_1, a_2 \rangle \in \mathbb{N}^2 \mid \mathbf{f}_{a_1}(a_1) = a_2 \}, \\ \mathcal{K} &= \{ a \in \mathbb{N} \mid \langle a, x \rangle \in \mathcal{H} \text{ holds for some } x \} \end{aligned}$$

are r.e. sets, the complement $\mathbb{N} \setminus \mathcal{K}$ of the latter is not an r.e. set, and the former can be represented in the univocal form shown at the beginning of Sect. 1, namely

$$\mathbf{f}_{a_1}(a_1) = a_2 \iff (\exists x_1 \dots \exists x_\kappa \exists y \exists w) [2^y = w \ \&\& \ D(a_1, a_2, x_1, \dots, x_\kappa, y, w) = 0],$$

where D is a polynomial with integral coefficients; then put

$$H(a, x_0, x_1, \dots, x_\kappa, y, w) =_{\text{def}} 1 - D^2(a, x_0, x_1, \dots, x_\kappa, y, w),$$

so that $H(a, x_0, x_1, \dots, x_\kappa, y, 2^y) > 0$ holds if and only if $\mathbf{f}_a(a) = x_0$, and hence H satisfies 1).

By way of contradiction, suppose that there is a monadic totally computable function \mathcal{C}_* such that the inequalities $\mathbf{v}_0 \leq \mathcal{C}_*(\mathbf{a}), \dots, \mathbf{v}_\kappa \leq \mathcal{C}_*(\mathbf{a})$, and $\mathbf{u} \leq \mathcal{C}_*(\mathbf{a})$ hold whenever a tuple $\langle \mathbf{a}, \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u} \rangle$ of natural numbers exists such that $H(\mathbf{a}, \mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_\kappa, \mathbf{u}, 2^u) > 0$ holds; that is, they hold when a pair $\langle \mathbf{a}, \mathbf{v}_0 \rangle \in \mathcal{H}$ exists (this happens, e.g., for the infinitely many \mathbf{a} 's satisfying $\mathcal{C}_* = \mathbf{f}_\mathbf{a}$). In particular, the said inequalities must hold when $\mathbf{a} \in \mathcal{K}$. But then this would offer us a criterion for checking whether or not $\mathbf{a} \in \mathcal{K}$, by evaluating a bounded family of expressions of the form $H(\mathbf{a}, v_0, v_1, \dots, v_\kappa, u, 2^u)$; however, this would conflict with the fact that $\mathbb{N} \setminus \mathcal{K}$ is not r.e. We conclude that H satisfies 2).

Summing up, we are in this situation: thanks to *reductio ad absurdum*, we have found that the course of values of the concrete arithmetic expression $H(a, v_0, v_1, \dots, v_\kappa, u, 2^u)$ exceeds zero at most once for each value \mathbf{a} of a ; it is unconceivable, though, that one can put an effective bound on the search space for positive values of $H(a, v_0, v_1, \dots, v_\kappa, u, 2^u)$.

A proof that every r.e. set admits a finite-fold Diophantine polynomial representation would yield analogous, equally striking consequences about 'non-effectivizable estimates'.

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A A quick account of the reduction, as proposed in [19], of exponentiation to any exponential-growth relation

Suppose that $\mathcal{Q} \subset \mathbb{N} \times \mathbb{N}$ and $\mathcal{S} \subset \mathbb{N} \times \mathbb{N}$ are such that

- i) $\mathcal{Q}(w, u)$ implies $u \geq w^w$,
- ii) $w > 1 \ \& \ u \geq w^{2^w}$ implies $\mathcal{Q}(w, u)$;
- iii) $\mathcal{S}(p, q)$ implies $p > 1 \ \& \ q \leq p^p$,
- iv) for each $k \geq 0$, there are p and q such that $\mathcal{S}(p, q)$ and $p^k < q$.

Then, as we will prove:

$$\begin{aligned}
 b^n = c \iff (\exists a, d, \ell, r, v, s, t) & \left[(c-1)^2 + n = 0 \quad \vee \right. \\
 & \left. (n \geq 1 \ \& \ c + b = 0) \vee \right. \\
 & \left(n \geq 1 \ \& \ b \geq 1 \ \& \ \mathcal{S}(a, d) \ \& \ d > \ell \quad \& \right. \\
 & \ell^2 = (a^2 - 1)r^2 + 1 \ \& \ r = (a-1)s + n \ \& \quad \textcircled{\text{A}} \\
 & \mathcal{Q}(b+n+1, v) \ \& \ v = 2ab - b^2 - 1 \quad \& \\
 & a > b+n \ \& \ v > c \quad \& \\
 & \left. \left. \ell = (a-b)r + vt + c \right) \right].
 \end{aligned}$$

Lemma 2. *The above bi-implication $\textcircled{\text{A}}$ holds if i), ii), iii), and iv) hold.*

Proof. Assuming that $n \geq 1$ & $b \geq 1$, we must show that $b^n = c$ holds if and only if: there are natural numbers a, d, ℓ, r , and $v = 2ab - b^2 - 1$, such that the conditions $\mathcal{S}(a, d)$, $d > \ell$, $\ell^2 - (a^2 - 1)r^2 = 1$, $\mathcal{Q}(b+n+1, v)$ hold and, moreover, n is the remainder of the integer division of r by $a - 1$ and c is the remainder of the division of $\ell - (a - b)r$ by v .

(‘ \Leftarrow ’): By means of i), we get $v \geq (b+n+1)^{b+n+1} > b^n$; by means of iii), $a > 1$ and $\ell < a^a$. Thus, since $n \geq 1$ implies $r > 0$, we get $\ell = \mathbf{x}_i(a)$ and $r = \mathbf{y}_i(a)$ for some i such that $0 < i < a$; therefore—taking the congruence $\mathbf{y}_i(a) \equiv i \pmod{a-1}$ into account— $i \equiv n \pmod{a-1}$, and hence $i = n$ is the remainder of the division of r by $a - 1$. Since $\ell - (a - b)r \equiv b^n \pmod{v}$ —thanks to the congruence $\mathbf{x}_j(a) - (a - b)\mathbf{y}_j(a) \equiv b^j \pmod{2ab - b^2 - 1}$ holding for all j —and, moreover, $\ell - (a - b)r \equiv c \pmod{v}$, $c < v$, $b^n < v$, we conclude that $c = b^n$ as desired.

(‘ \Rightarrow ’): Notice that iii) and iv) imply that for every k there exists an infinite sequence

$$\langle p_0, q_0 \rangle, \langle p_1, q_1 \rangle, \langle p_2, q_2 \rangle, \dots$$

in $\mathbb{N} \times \mathbb{N}$ such that $\mathcal{S}(p_j, q_j)$, $q_j > p_j^k$, and $p_{j+1} > p_j$ hold for every j .⁹ Hence we can choose an a so large that: for some d , $\mathcal{S}(a, d)$ and $d > a^{2^n}$ holds; $a > n + b$; $\mathcal{Q}(b+n+1, 2ab - b^2 - 1)$ (to enforce this, by ii), it suffices to pick an a such that $2ab - b^2 - 1 \geq (b+n+1)^{2(b+n+1)}$ and, in consequence of i), $2ab - b^2 - 1 > b^n$. To satisfy all desired conditions, it will then suffice to take $\ell = \mathbf{x}_n(a)$ and $r = \mathbf{y}_n(a)$, thanks to the congruence $\mathbf{x}_n(a) - (a - b)\mathbf{y}_n(a) \equiv b^n \pmod{2ab - b^2 - 1}$.

In order for \mathcal{Q} to behave as wanted, it suffices to put:¹⁰

$$\mathcal{Q}(w, u) =_{\text{Def}} (\exists x, y) \left[\begin{array}{l} u \geq wx \quad \& \quad x > 1 \quad \& \\ x^2 - (w^2 - 1)(w - 1)^2 y^2 = 1 \end{array} \right].$$

Lemma 3. *As just defined, the Diophantine relation $\mathcal{Q}(w, u)$ satisfies i) & ii).*

Proof. Suppose first that $\mathcal{Q}(w, u)$ holds. From $x > 1$ it follows that $w \notin \{0, 1\}$; hence $x = \mathbf{x}_n(w)$ & $(w - 1)y = \mathbf{y}_n(w)$ holds for some $n > 0$. Since $\mathbf{y}_i(w) \equiv i \pmod{w-1}$ holds for all i , we get $n \equiv 0 \pmod{w-1}$; therefore $n \geq w - 1$ and, hence, $u \geq w \mathbf{x}_{w-1}(w) \geq w^w$. This proves i).

Suppose next that $w > 1$. By taking $x = \mathbf{x}_{w-1}(w)$ and $y = \mathbf{y}_{w-1}(w)/(w-1)$, we easily check that $\mathcal{Q}(w, u)$ holds for every $u \geq w \mathbf{x}_{w-1}(w)$. Since $\mathbf{x}_i(w) < (2w)^i \leq w^{2i}$ holds for every $i > 0$, we get $w \mathbf{x}_{w-1}(w) < w w^{2w-2} < w^{2w}$; therefore, $\mathcal{Q}(w, u)$ holds for every $u \geq w^{2w}$. This proves ii).

⁹ To choose p_0, q_0 so that $\mathcal{S}(p_0, q_0)$ & $q_0 > p_0^k$, just rely on iv). Inductively, assuming $\mathcal{S}(p_j, q_j)$ & $q_j > p_j^k$, notice that $p_j \neq 0$ & $p_j^{p_j} \geq q_j$ holds by iii), hence $p_j > k$ follows; therefore, by choosing p_{j+1} and q_{j+1} so that $\mathcal{S}(p_{j+1}, q_{j+1})$ & $q_{j+1} > p_{j+1}^{p_j}$, we will enforce $q_{j+1} > p_{j+1}^k$; on the other hand, $p_{j+1} \neq 0$ & $p_{j+1}^{p_{j+1}} \geq q_{j+1}$, and therefore $p_{j+1} > p_j$.

¹⁰ Notice that for $w \geq 2$ the inequality $x > 1$ amounts to the same as $y > 0$.

From Lemma 3 and Thm 2, by taking the above implementation of \mathcal{Q} —where we replace y by $h + 1$ —into account, we get straightforwardly:

Corollary 1. *If \mathcal{S} is a Diophantine relation satisfying iii) & iv), the following rule provides a Diophantine representation of exponentiation:*

$$\begin{aligned}
b^n = c \iff (\exists a, d, \ell, s, x, h) & \left[\begin{array}{ll} (c-1)^2 + n = 0 & \vee \\ (n \geq 1 \ \& \ c + b = 0) & \vee \\ \left(n \geq 1 \ \& \ b \geq 1 \ \& \ \mathcal{S}(a, d) \ \& \ d > \ell \right. & \& \\ \ell^2 = (a^2 - 1) [(a-1)s + n]^2 + 1 & \& \\ x^2 = (b+n)^3 (b+n+2)(h+1)^2 + 1 & \& \\ 2ab - b^2 - 1 \geq (b+n+1)x & \& \\ 2ab - b^2 - 1 > c \ \& \ a > b+n & \& \\ \left. 2ab - b^2 - 1 \mid \ell - (a-b)[(a-1)s + n] - c \right) & \left. \right].
\end{array}
\end{aligned}$$

(Besides a, d, ℓ, s, x, h , one needs one additional existential variable in the right-hand side of this bi-implication in order to eliminate each inequality, plus one more to eliminate the divisibility relator ‘|’. Thanks to the inequality $a - b > 0$, we can also get rid of ℓ , thus reducing the number of existential variables to 12.)

B Davis’s reduction of $b^n = c$ to the relation $r = \mathbf{y}_n(\mathbf{a})$

The following crucial link between exponentiation and the sequence $\langle \mathbf{y}_i(\mathbf{a}) \rangle_{i \in \mathbb{N}}$ was pointed out in [3] and explained at length, again, in [4]:

$$\begin{aligned}
b \geq 1 \implies \left[b^n = c \iff (\exists t, a, \ell, r, h) \left(\begin{array}{l} r = \mathbf{y}_n(\mathbf{a}) \ \& \\ \ell^2 - (a^2 - 1)r^2 = 1 \ \& \\ t > b \ \& \ t > n \ \& \\ (t^2 - 1)(t - 1)^2 (h + 1)^2 + 1 = a^2 \ \& \\ c < 2ab - b^2 - 1 \ \& \\ c \equiv \ell - (a - b)r \pmod{2ab - b^2 - 1} \end{array} \right) \right].
\end{aligned}$$

Specifically, when $b \geq 1$ and $b^n = c$, the constraints here appearing in the scope of \exists can be satisfied in infinitely many ways: for, corresponding to any $t > n \max b$, it suffices to put $a = \mathbf{x}_{t-1}(t)$ in order to be able to determine the values of ℓ, r , and h uniquely (see Lemma 4 below).

In light of the above biimplication, if we now provided a Diophantine representation of the relation $r = \mathbf{y}_n(a)$, we would readily get that the relation $b^n = c$ is also Diophantine.

Let us recall here the proof of the above-stated relationship between exponentiation and the Pell equation. We begin with the proposition:

Lemma 4. *If $b \geq 1$ and $b^n = c$, then to each number of the form $a = \mathbf{x}_{(s+1)(t-1)}(t)$ with $t > b \max n$ there correspond uniquely values ℓ, r, h such that the following conditions are met: $r = \mathbf{y}_n(a)$, $\ell = \mathbf{x}_n(a)$, $c < 2ab - b^2 - 1$, $c \equiv \ell - (a - b)r \pmod{2ab - b^2 - 1}$, and $a^2 - (t^2 - 1)(t - 1)^2(h + 1)^2 = 1$.*

Proof. Observe that, since $t > b \geq 1$, the Pell equation $x^2 - (t^2 - 1)y^2 = 1$ has the usual infinite sequence $\langle \langle \mathbf{x}_i(t), \mathbf{y}_i(t) \rangle \rangle_{i \in \mathbb{N}}$ of solutions; therefore, it makes sense to put $a := \mathbf{x}_{(s+1)(t-1)}(t)$. In its turn $a > 1$ holds, because $\mathbf{x}_{(s+1)(t-1)}(t) \geq \mathbf{x}_1(t) > 1$; hence it makes sense to put $r := \mathbf{y}_n(a)$ and $\ell := \mathbf{x}_n(a)$.

Plainly, $a \geq \mathbf{x}_{t-1}(t) \geq t^{t-1} > b^n$; hence it is easy to see that the inequality $b^n < 2ab - b^2 - 1$ is satisfied¹¹ when $n > 0$. The same inequality holds when $n = 0$, as it follows from $a \geq t^{t-1} \geq t > b \geq 1$.

The last two conditions in the claim simply state well-known congruences that are satisfied (as recalled in Fig. 2) by the solutions of any Pell equation of the special form being considered here. In particular,

$$c \equiv \ell - (a - b)r \pmod{2ab - b^2 - 1}$$

states that

$$b^n \equiv \mathbf{x}_n(a) - (a - b)\mathbf{y}_n(a) \pmod{2ab - b^2 - 1}. \quad (\circ)$$

As for $a^2 - (t^2 - 1)(t - 1)^2(h + 1)^2 = 1$, it merely expresses that $\mathbf{y}_{(s+1)(t-1)}(t)$ is a non-null multiple of $t - 1$ —; recall, in fact, that $a = \mathbf{x}_{(s+1)(t-1)}(t)$ and $t - 1 > 0$, and that the congruence $\mathbf{y}_i(t) \equiv i \pmod{t - 1}$ holds in general, for every i .

We next come to the converse of Lemma 4:

Lemma 5. *Suppose that $b \geq 1$ and that the conditions*

$$\begin{aligned} c &< 2ab - b^2 - 1, \\ c &\equiv \ell - (a - b)r \pmod{2ab - b^2 - 1} \\ \ell^2 - (a^2 - 1)r^2 &= 1 \\ a^2 - (t^2 - 1)(t - 1)^2(h + 1)^2 &= 1 \\ t &> b \max n, \end{aligned}$$

are satisfied by a, ℓ, r, t , and h , where n is the value ensuring that $r = \mathbf{y}_n(a)$. Then $b^n = c$ holds.

¹¹ Here, as we will again do in the proof of Lemma 5, we are making use of the following fact (which gets easily proven even for a real number b): *If $n > 0$, $b \geq 1$, and $a > b^n$ (with $a, n \in \mathbb{N}$), then $2ab - b^2 - 1 > b^n$.*

Proof. Since $t > b \geq 1$, the Pell equation $x^2 - (t^2 - 1)y^2 = 1$ has the usual infinite sequence $\langle \langle \mathbf{x}_i(t), \mathbf{y}_i(t) \rangle \rangle_{i \in \mathbb{N}}$ of solutions; thus, since $a^2 - (t^2 - 1)y^2 = 1$ holds for some $y > 0$, we have $a = \mathbf{x}_j(t)$ for some j , where $j > 0$ —since $a \geq t$ —and $\ell = \mathbf{x}_n(a)$, $r = \mathbf{y}_n(a)$ holds for a suitable n . Consequently $2ab - b^2 - 1 \geq 2$; moreover, by the well-known congruence (\circ) recalled above, we have

$$c \equiv b^n \pmod{2ab - b^2 - 1},$$

whence the sought equality will follow if we manage to prove that both sides of this congruence are smaller than $2ab - b^2 - 1$ (as for c , this is an explicit assumption). Since this is obvious when $n = 0$, we will assume $n > 0$.

To see that $b^n < 2ab - b^2 - 1$, we argue as follows. Clearly $\mathbf{y}_j(t) = (t-1)(h+1)$ holds, whence $(t-1)(h+1) \equiv j \pmod{t-1}$, i.e. $t-1 \mid j$, follows. Since $j \neq 0$, we get $j \geq t-1$, and therefore $a = \mathbf{x}_j(t) \geq t^j \geq t^{t-1} > b^n$. The sought inequality follows, which completes the proof.

Corollary 2. Put $Q(w, h) := (w+2)^3(w+4)(h+1)^2 + 1$. Then,

$$b^n = c \iff (\exists a, \ell, r, h) \left[\begin{array}{l} (c-1)^2 + b + n = 0 \vee (n \geq 1 \ \& \ c + b = 0) \quad \vee \\ \left(\begin{array}{l} b \geq 1 \ \& \ r = \mathbf{y}_n(a) \quad \& \\ \ell^2 = (a^2 - 1)r^2 + 1 \ \& \ Q(b+n-2, h) = a^2 \ \& \\ 2ab - b^2 - 1 > c \quad \& \\ c \equiv \ell - (a-b)r \pmod{2ab - b^2 - 1} \end{array} \right) \end{array} \right].$$

Proof. Suppose first that there are a, ℓ, r, h satisfying the conditions in the scope of ‘ \exists ’, and that $b \geq 1$. By putting $t := b + n + 1$, we obviously get $t > b \max n$ and $a^2 - (t^2 - 1)(t-1)^2(h+1)^2 = 1$, so that $b^n = c$ holds by Lemma 5.

Conversely, suppose that $b^n = c$ holds, where $b \geq 1$. Put $t := b + n + 1$ and $a := \mathbf{x}_{t-1}(t)$. Then, by Lemma 4, unique values ℓ, r, h exist satisfying all conditions that appear in the third disjunct of the scope of ‘ \exists ’ in the claim.

C Representing exponentiation as an integer quotient

In the ongoing, in order to prove that

$$b^n = c \iff c = \left\lfloor \frac{\mathbf{y}_{n+1}(8b(n+1)\mathbf{y}_{n+1}(b+1)+2)}{\mathbf{y}_{n+1}(8(n+1)\mathbf{y}_{n+1}(b+1))} \right\rfloor,$$

we will proceed to show, for b and n natural numbers, that

$$b^n = \lim_{x \rightarrow \infty} \frac{\mathbf{y}_{n+1}(bx+2)}{\mathbf{y}_{n+1}(x)};$$

this, in the light of the corollary which follows, will give us

$$b^n = \lfloor \mathbf{y}_{n+1}(bx+2) / \mathbf{y}_{n+1}(x) \rfloor \quad (*)$$

where x is a natural number sufficiently large to reduce the distance between b^n and $\mathbf{y}_{n+1}(bx+2) / \mathbf{y}_{n+1}(x)$ to an amount less than 1. We will carefully assess how to take the value of x big enough. Our treatment adheres closely to [13, pp. 31–32].

We begin by recalling, from fact 1 of Fig. 2:

Lemma 6. *For $a \geq 2$ and $i \in \mathbb{N}$, the following inequalities hold:*

$$(2a-1)^i \leq \mathbf{y}_{i+1}(a) \leq (2a)^i.$$

Here the increase on the left is strict when $i > 0$; on the other side, when $i > 1$.

Corollary 3. *For $b, n, x \in \mathbb{N}$ with $x \geq 2$,*

$$\frac{\mathbf{y}_{n+1}(bx+2)}{\mathbf{y}_{n+1}(x)} \geq b^n.$$

Proof. Thanks to Lemma 6, we have

$$\frac{\mathbf{y}_{n+1}(bx+2)}{\mathbf{y}_{n+1}(x)} \geq \frac{(2bx+3)^n}{(2x)^n} \geq \frac{(2bx)^n}{(2x)^n} = b^n.$$

Assessment of a value of x which fits our needs (cf. [13, p. 32]):

$$\frac{\mathbf{y}_{n+1}(bx+2)}{\mathbf{y}_{n+1}(x)} \begin{cases} = 1 & \text{for } b = 0 \quad \text{and } x \geq 2; \\ < \frac{4^n}{(2x-1)^n} < 1 & \text{for } b = 0 < n \quad \text{and } x > 2; \\ \leq b^n \left(1 + \frac{16n}{2x}\right) & \text{for } b > 0 < n \quad \text{and } x > 8n. \end{cases}$$

Thus (*) becomes true as soon as $x \geq 8(n+1)(b+1)^n$; we can, e.g., enforce it by putting $x := 8(n+1)\mathbf{y}_{n+1}(b+1)$, thus getting the formulation of $b^n = c$ shown at the beginning of this appendix.